

Format of holding of specified securities

1. Name of Listed Entity: DIANA TEA COMPANY LTD (CIN:L15495WB1911PLC002275)
2. Scrip Code 530959 Name of Scrip :- Class of Security Equity
3. Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
 - a. If under 31 (1)(b) then indicate the report for Quarter ending: 30/09/2020
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in Locked-in?		No
5 Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the column for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities as on 30/09/2020

Category (I)	Category of shareholder (II)	Nos. of shareholdes (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								Class eg:x	Class eg:y	Total			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(A)	Promoter & Promoter group	10	9194854	0	0	9194854	61.3358	9194854	0	9194854	61.3358	61.3358	0	0.0000	0	0.0000	9194854
(B)	Public	6798	5796146	0	0	5796146	38.6642	5796146	0	5796146	38.6642	38.6642	0	0.0000	0	0.0000	5708773
(C)	Non Promoter - Non Public																
(C1)	Shares underlying DRs						NA										
(C2)	Shares held by Employee Trusts																
	Total	6808	14991000	0	0	14991000	100.0000	14991000	0	14991000	100.0000	100.0000	0		0		14903627



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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2020

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2020																			
Category & Name of the Shareholders (I)	PAN (II)	Nos. of sharehold-ers (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV)+(V)+(VI)	Sharehol ding % calculate d as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	No of shares Underlying outstanding convertible securities (including Warrants) (X)	Total as a % of Total Voting rights	No. (a)	As a % of total shares held (b)	No. (Not appli cable) (a)	As a % of total shares held (b)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in demateria lized form (XIV)
								Class x	Class y	Total									
(1)																			
(a)	Mutual Funds / UTI																		
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital Investors																		
(e)	Foreign Portfolio Investors																		
(f)	Financial Institutions / Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds / Pension Funds																		
(i)	Any Other (specify)																		
	Sub Total	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA			0
(2)	Central Government / State Government(s) / President of India																		
(3)	Non-Institutions																		
(a)	Individuals-																		
	i. Individual shareholders holding nominal share capital upto Rs.2 lakhs	6647	4346268	0	0	4346268	28.9925	4346268	0	4346268	28.9925	0	28.9925	0	0.0000	NA			4290895
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs	11	852079	0	0	852079	5.6839	852079	0	852079	5.6839	0	5.6839	0	0.0000	NA			852079
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other (specify)																		
	Investor Education and Protection Fund Authority	1	124398	0	0	124398	0.8298	124398	0	124398	0.8298	0	0.8298	0	0.0000	NA			124398
	Bodies Corporate	51	294788	0	0	294788	1.9664	294788	0	294788	1.9664	0	1.9664	0	0.0000	NA			277530
	Clearing Member	19	19516	0	0	19516	0.1302	19516	0	19516	0.1302	0	0.1302	0	0.0000	NA			19516



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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2020

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Table III - Statement Showing shareholding pattern of the Public shareholder as on 30/09/2020																		
Category & Name of the Shareholders (I)	PAN (II)	Nos. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos shares held (VII)=(IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)			Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)	
								Class x	Class y	Total	Total as a % of Total Voting rights		No of shares Underlying outstanding convertible securities (including Warrants) (X)	No. (a)	As a % of total shares held (b)			
															No. (a)			As a % of total shares held (b)
Non Resident Individual		37	144355	0	0	144355	0.9629	144355	0	144355	0	0.0000	NA	144355				
Custodian of Enemy Property		32	14742	0	0	14742	0.0983	14742	0	14742	0	0.0983	0	0.0000	NA	0		
Sub Total		6798	5796146	0	0	5796146	38.6642	5796146	0	5796146	38.6642	0	38.6642	0	0.0000	NA	5708773	
Total Public Shareholding (B)=(A)(1)+(B)(2)+(B)(3)		6798	5796146	0	0	5796146	38.6642	5796146	0	5796146	38.6642	0	38.6642	0	0.0000	NA	5708773	



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Table IV - Statement Showing shareholding pattern of the Non Promoter - Non Public shareholder as on 30/09/2020

Category & Name of the Shareholders (I)	PAN (II)	No of shareholders (III)	No of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of underlying Depository Receipts (VI)	Total nos. shares held (VII)=(IV) + (V)+(VI)	Shareholding % calculate as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			Total Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of A+B+C2)	Number of Locked in shares (XII)	Number of shares pledged or otherwise encumbered (XIII)	Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights	Class x	Class y	Total			
(1)														
Custodian / DR Holder														
(2)														
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)														
Total Non Promoter - Non Public Shareholding (C)=(C1)+(C2)			0	0	0	0	0.0000	0	0	0	0.0000	0	NA	0



DIANA TEA COMPANY LTD

Annexure

Table V - Statement showing details of significant beneficial owners as on 30/09/2020

Sr No	Name	Details of the (SBO)		Details of the registered owner				Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect:				Date of creation / acquisition of significant beneficial interest
		(I)		(II)		(III)				(IV)		
		PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Shares (%)	Voting rights (%)	Rights on distributable dividend or any other distribution (%)		Exercise of control	
1				DIANA CAPITAL LTD	AAACD8671R	INDIAN	8179340	54.5617				
	Total						8179340	54.5617				

